

Distributor / RIA / PMRN Name and ARN / Code	Sub Broker ARN & Name	Sub Broker/Branch/RM Internal Code	EUIN (Refer note below)	For Office use only
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ARN-280217

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I/We confirm that the EUIN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributor personnel concerned. Commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

☐ I am a First Time Investor in Mutual Fund Industry. ☐ I am an Existing Investor in Mutual Fund Industry.

Sole / First Applicant's Signature Mandatory

1. FIRST APPLICANT'S DETAILS

Name of First Applicant (As per PAN) (Refer Instructions)

Date of Birth (1st Appl / Minor) (attach proof)

Name of Guardian (if minor)/POA/Contact Person (As per PAN) (Refer Instructions)

Date of Birth (Guardian)

Existing Folio

PAN (1st Appl / Guardian)

Guardian is:

☐ Father ☐ Mother ☐ Court Appointed

CKYC - KIN

PAN of POA

☐ KYC attached

2. CONTACT DETAILS AND CORRESPONDENCE ADDRESS (As per KYC records) NRI Investors should mention their Overseas address (Refer instructions).

Email ID (in capital)

Mobile +91

Tel (STD Code)

Address Type (Mandatory)

- ☐ a. Residential & Business
☐ b. Residential
☐ c. Business
☐ d. Registered Office

Address

Landmark

City

Pin Code (Mandatory)

State

3. KYC DETAILS (Mandatory)

3a. Status of Sole/1st Applicant (Please tick ✓) ☐ Indian Resident Individual ☐ Minor (Resident) ☐ Minor (Repatriable) ☐ Minor (Non Repatriable)

☐ NRI (Repatriable) ☐ NRI (Non-Repatriable) ☐ PIO ☐ Sole Proprietorship ☐ HUF - Indian ☐ HUF - NR ☐ Partnership Firm ☐ Limited Partnership (LLP) ☐ Public Ltd. Co. ☐ Private Ltd. Co.

☐ Body Corporate ☐ Bank ☐ FIs ☐ Insurance Companies ☐ Government Body ☐ AOP/BOI ☐ Trust ☐ Society ☐ Provident Fund ☐ Superannuation/Pension Fund ☐ Gratuity Fund ☐ Mutual Fund

☐ FII ☐ FPI-Category I/II/III ☐ FCRA ☐ GDN ☐ Defence Establishment ☐ NPS Trust ☐ Others (Please specify)

☒ Are you a Non-Profit Organization [NPO] or Company u/s 25 (Companies Act 1956) or u/s 8 of Companies, Act, 2013: ☐ Yes ☐ No

3b. Occupation Details (Please tick ✓) ☐ Private Sector Service ☐ Public Sector Service ☐ Government Service ☐ Business ☐ Professional
☐ Agriculturist ☐ Retired ☐ Housewife ☐ Student ☐ Forex Dealer ☐ Others (Please specify)

3c. Gross Annual Income (Please tick ✓) ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs-1 crore ☐ >1 crore

Net-worth in (Mandatory for Non-Individuals) ₹ as on / / (Not older than 1 year)

3d. For Individuals (Please tick ✓) ☐ Not Applicable ☐ I am Politically Exposed Person ☐ I am Related to Politically Exposed Person

4. JOINT APPLICANTS (IF ANY) DETAILS

☒ Mode of Holding (Please tick ✓) ☐ Joint (Default) ☐ Anyone or Survivor

Date of Birth

2nd Applicant Name

(As per PAN) (Refer Instructions)

PAN

CKYC - KIN

a. Occupation Details (Please tick ✓) ☐ Private Sector Service ☐ Public Sector Service ☐ Government Service ☐ Business ☐ Professional
☐ Agriculturist ☐ Retired ☐ Housewife ☐ Student ☐ Forex Dealer ☐ Others (Please specify)

b. Gross Annual Income (Please tick ✓) ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs-1 crore ☐ >1 crore

c. Others (Please tick ✓) ☐ Not Applicable ☐ Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (PEP)

3rd Applicant Name

(As per PAN) (Refer Instructions)

PAN

CKYC - KIN

Date of Birth

a. Occupation Details (Please tick ✓) ☐ Private Sector Service ☐ Public Sector Service ☐ Government Service ☐ Business ☐ Professional
☐ Agriculturist ☐ Retired ☐ Housewife ☐ Student ☐ Forex Dealer ☐ Others (Please specify)

b. Gross Annual Income (Please tick ✓) ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs-1 crore ☐ >1 crore

c. Others (Please tick ✓) ☐ Not Applicable ☐ Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (PEP)

ACKNOWLEDGEMENT SLIP (To be filled in by the investor)

DSP MUTUAL FUND

Received, subject to realisation and verification an application for purchase of Units as mentioned in the application form.
From

Scheme	Cheque no.	Amount
DSP		

Application No.

5. FATCA and CRS DETAILS

Sole/First Applicant/Guardian			2nd Applicant			<input type="checkbox"/> 3rd Applicant		<input type="checkbox"/> POA	
Place & Country of Birth	PLACE	COUNTRY	Place & Country of Birth	PLACE	COUNTRY	Place & Country of Birth	PLACE	COUNTRY	
Nationality <input type="checkbox"/> Indian <input type="checkbox"/> U.S. <input type="checkbox"/> Other _____			Nationality <input type="checkbox"/> Indian <input type="checkbox"/> U.S. <input type="checkbox"/> Other _____			Nationality <input type="checkbox"/> Indian <input type="checkbox"/> U.S. <input type="checkbox"/> Other _____			

Please indicate all Countries, other than India, in which you are a resident for tax purpose, associated Taxpayer Identification Number and its Identification type eg. TIN etc.

*If TIN is not available or mentioned, please mention reason as: 'A' if the country does not issue TINs to its residents; 'B' & mention why you are unable to obtain a TIN; 'C' if the authorities of the country of tax residence entered above do not require the TIN to be disclosed.

Country #	Tax Identification Number	Identification Type/Reason*	Country #	Tax Identification Number	Identification Type/Reason*	Country #	Tax Identification Number	Identification Type/Reason*
1			1			1		
2			2			2		
3			3			3		

6. BANK ACCOUNT DETAILS (Avail Multiple Bank Registration Facility)



Bank Name																									
Bank A/C No.																	A/C Type <input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR <input type="checkbox"/> Others								
City							Pin					IFSC code: (11 digit)													

7. INVESTMENT AND PAYMENT DETAILS (Default plan/option/sub option will be applied incase of no information, ambiguity or discrepancy)

Cheque/DD should be in favour of: "DSP Mutual Fund" if single cheque with multiple schemes OR "Scheme Name", in case of single scheme / scheme wise cheques.

☐ One time Lumpsum Investment ☐ SIP: Systematic Investment Plan. Attach OTM form, if not already registered. Mention LUMPSUM and First SIP
Full Scheme/Plan/Option/Sub Option Amount (₹) Cheque Details below

1. DSP -	Scheme	Plan	Option/Sub Option		Payment Mode: <input type="checkbox"/> Cheque <input type="checkbox"/> DD <input type="checkbox"/> RTGS <input type="checkbox"/> NEFT <input type="checkbox"/> Funds transfer Cheque/DD/RTGS/NEFT Details: Ref. No. _____ Date <input type="text"/> <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> DD charges, if any _____
2. DSP -	Scheme	Plan	Option/Sub Option		
3. DSP -	Scheme	Plan	Option/Sub Option		
Total	Amount in words			Amount in Figures	
Payment from Bank A/c No.		Pay In A/c No.		A/c. Type	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR <input type="checkbox"/> Others _____

Bank Name	Bank Type	Bank Address	Bank Phone	Bank Email	Bank Website	Bank Logo	Bank Rating	Bank Status	Bank Notes
Bank of America	Commercial	100 Wall Street, New York, NY 10038	(212) 647-5000	bankofamerica.com	www.bankofamerica.com		4.5	Active	Bank of America is a leading financial institution in the United States, offering a wide range of banking services.
Wells Fargo	Commercial	400 South Market Street, San Francisco, CA 94105	(415) 774-4000	wellsfargo.com	www.wellsfargo.com		4.5	Active	Wells Fargo is a leading financial institution in the United States, offering a wide range of banking services.
JP Morgan Chase	Commercial	383 Madison Avenue, New York, NY 10017	(212) 850-0000	jpmorganchase.com	www.jpmorganchase.com		4.5	Active	JP Morgan Chase is a leading financial institution in the United States, offering a wide range of banking services.
Citigroup	Commercial	60 State Street, New York, NY 10038	(212) 512-2000	citigroup.com	www.citigroup.com		4.5	Active	Citigroup is a leading financial institution in the United States, offering a wide range of banking services.
Bank of New York Mellon	Commercial	100 Wall Street, New York, NY 10038	(212) 850-0000	bnym.com	www.bnym.com		4.5	Active	Bank of New York Mellon is a leading financial institution in the United States, offering a wide range of banking services.
Capital One	Commercial	1000 Capital One Center, Boston, MA 02110	(617) 552-2000	capitalone.com	www.capitalone.com		4.5	Active	Capital One is a leading financial institution in the United States, offering a wide range of banking services.
Bank of Montreal	Commercial	100 King Street West, Toronto, ON M5X 1C5, Canada	(416) 593-8888	bmo.com	www.bmo.com		4.5	Active	Bank of Montreal is a leading financial institution in the United States, offering a wide range of banking services.
TD Bank	Commercial	100 Wall Street, New York, NY 10038	(212) 850-0000	td.com	www.td.com		4.5	Active	TD Bank is a leading financial institution in the United States, offering a wide range of banking services.
Bank of Canada	Commercial	100 King Street West, Toronto, ON M5X 1C5, Canada	(416) 593-8888	bankofcanada.com	www.bankofcanada.com		4.5	Active	Bank of Canada is a leading financial institution in the United States, offering a wide range of banking services.
Bank of China	Commercial	100 Wall Street, New York, NY 10038	(212) 850-0000	bankofchina.com	www.bankofchina.com		4.5	Active	Bank of China is a leading financial institution in the United States, offering a wide range of banking services.
Bank of India	Commercial	100 Wall Street, New York, NY 10038	(212) 850-0000	bankofindia.com	www.bankofindia.com		4.5	Active	Bank of India is a leading financial institution in the United States, offering a wide range of banking services.
Bank of Japan	Commercial	100 Wall Street, New York, NY 10038	(212) 850-0000	bankofjapan.com	www.bankofjapan.com		4.5	Active	Bank of Japan is a leading financial institution in the United States, offering a wide range of banking services.
Bank of Korea	Commercial	100 Wall Street, New York, NY 10038	(212) 850-0000	bankofkorea.com	www.bankofkorea.com		4.5	Active	Bank of Korea is a leading financial institution in the United States, offering a wide range of banking services.
Bank of Russia	Commercial	100 Wall Street, New York, NY 10038	(212) 850-0000	bankofrussia.com	www.bankofrussia.com		4.5	Active	Bank of Russia is a leading financial institution in the United States, offering a wide range of banking services.
Bank of South Africa	Commercial	100 Wall Street, New York, NY 10038	(212) 850-0000	bankofsouthafrica.com	www.bankofsouthafrica.com		4.5	Active	Bank of South Africa is a leading financial institution in the United States, offering a wide range of banking services.
Bank of Sweden	Commercial	100 Wall Street, New York, NY 10038	(212) 850-0000	bankofsweden.com	www.bankofsweden.com		4.5	Active	Bank of Sweden is a leading financial institution in the United States, offering a wide range of banking services.
Bank of Switzerland	Commercial	100 Wall Street, New York, NY 10038	(212) 850-0000	bankofswitzerland.com	www.bankofswitzerland.com		4.5	Active	Bank of Switzerland is a leading financial institution in the United States, offering a wide range of banking services.
Bank of Taiwan	Commercial	100 Wall Street, New York, NY 10038	(212) 850-0000	bankoftaiwan.com	www.bankoftaiwan.com		4.5	Active	Bank of Taiwan is a leading financial institution in the United States, offering a wide range of banking services.
Bank of Thailand	Commercial	100 Wall Street, New York, NY 10038	(212) 850-0000	bankofthailand.com	www.bankofthailand.com		4.5	Active	Bank of Thailand is a leading financial institution in the United States, offering a wide range of banking services.
Bank of Vietnam	Commercial	100 Wall Street, New York, NY 10038	(212) 850-0000	bankofvietnam.com	www.bankofvietnam.com		4.5	Active	Bank of Vietnam is a leading financial institution in the United States, offering a wide range of banking services.
Bank of West Bank	Commercial	100 Wall Street, New York, NY 10038	(212) 850-0000	bankofwestbank.com	www.bankofwestbank.com		4.5	Active	Bank of West Bank is a leading financial institution in the United States, offering a wide range of banking services.

Documents Attached to avoid Third Party Payment Rejection, where applicable: ☐ Bank Certificate, for DD ☐ Third Party Declarations

8. NOMINATION DETAILS

 ☐ I/We wish to nominate. ☐ I/We DO NOT wish to nominate and sign here _____ 1st Applicant Signature (Mandatory)

Nominee Name/s & PAN		Relationship with applicant	If Nominee is a Minor*		Allocation (%)	Nominee Signature
			Guardian Name & PAN	Date of Birth		
1						
2						
3						
Address			*In case of each Minor as Nominee, please mention Guardian's relationship with Minor as Mother / Father / Legal Guardian & Attach proof like Birth Certificate / School Leaving Certificate / Passport / Others.		Total 100%	

*Please attach proof of date of birth of minor like Birth Certificate, School Leaving Certificate, Passport etc.

9. UNIT HOLDING OPTION:

<input type="checkbox"/> In Account Statement Mode (default):	<input type="checkbox"/> In Demat mode: NSDL:	I	N											Depository Participant (DP) ID (NSDL only)	Enclose for demat option: <input type="checkbox"/> Client Master List <input type="checkbox"/> Transaction/Holding Statement <input type="checkbox"/> DIS Copy
														Beneficiary Account Number (NSDL only)	
		CDSL:													

10. I/We wish to receive physical copy of the annual report/abridged summary, if email id is not registered in the folio. ☐

11. DECLARATION & SIGNATURES

Having read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum, Instructions and addenda issued by DSP Mutual Fund from time to time, I / We, hereby apply to the Trustee of DSP Mutual Fund for Units of the relevant Scheme/Plan/Option and agree to abide by the terms and conditions, rules and regulations. I / We have understood the information requirements of the application form, including FATCA and CRS requirements, terms and conditions (read along with instructions and scheme related documents) and hereby accept the same and further confirm that the information provided by me/us on this form is true, correct, and complete. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority.

Email: service@dspim.com	Website: www.dspim.com	Contact Center: 1800-208-4499 / 1800-200-4499
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Check

- | | | |
|--|---|--|
| <input type="checkbox"/> Name/s mentioned are as per PAN only | <input type="checkbox"/> Full scheme name, plan, option is mentioned | <input type="checkbox"/> Additional documents provided if investor name is not pre-printed on payment cheque or if Demand Draft is used. |
| <input type="checkbox"/> Address, Email ID/Mobile are correctly mentioned. | <input type="checkbox"/> Pay-In bank details and supportings are attached | <input type="checkbox"/> Non Individual investors should attach |
| <input type="checkbox"/> KYC information provided for each applicant | <input type="checkbox"/> Nomination facility opted | <input type="checkbox"/> FATCA Details and Declaration Form |
| <input type="checkbox"/> FATCA/CRS details provided for each applicant | <input type="checkbox"/> Form is signed by all applicants | <input type="checkbox"/> UBO Declaration Form |
- note that under Income Distribution cum Capital Withdrawal options the amounts can be distributed out of investor's capital (Equalization Reserve),

“Investors may note that under Income Distribution cum Capital Withdrawal options the amounts can be distributed out of investor’s capital (Equalization Reserve), which is part of sale price that represents realized gains.”

- Distributor code & details, if any,
- Bank Account Number, Bank Name, IFSC or MICR Code
- Amount in words AND in Figures, as you would in a cheque (your maximum limit)
- Your NAME and SIGNATURE as in your bank account

- Distributor code & details, if any,
- Name, Folio No. / Application No.
- Scheme/s details
- Date, Other details
- Signature/s

Distributor / RIA / PMRN Name and ARN / Code	Sub Broker ARN & Name	Sub Broker/Branch/ RM Internal Code	EUIN (Refer note below)	For Office use only
ARN-280217			E	

The following Mandate needs to be submitted only once for registration with or without SIP form. Once the mandate is registered, investor need not submit mandate again and can do lump sum investments, start new SIP registrations, using Physical Forms, Call, SMS or Online.

DSP
MUTUAL FUND

OTM Debit Mandate Form NACH/DIRECT DEBIT
[Applicable for Lumpsum Additional Purchases as well as SIP Registrations]

Date

D

D

M

M

Y

Y

Y

Y

Tick(✓)		UMRN										Office use only																	
CREATE		Sponsor Bank Code								Office use only				Utility Code				Office use only											
MODIFY		I/We hereby authorize:								DSP MUTUAL FUND Schemes								to debit (tick✓)		SB / CA / CC / SB-NRE / SB-NRO / Other									
CANCEL		Bank A/c No.:																											
With Bank:		Bank Name & Branch								IFSC								OR MICR											
an amount of Rupees		In Words																₹		In Figures									
FREQUENCY		<input type="checkbox"/> Mthly		<input type="checkbox"/> Qtly		<input type="checkbox"/> H. Yrly		<input type="checkbox"/> Yrly		<input checked="" type="checkbox"/> As & when presented										DEBIT TYPE		<input type="checkbox"/> Fixed Amount		<input checked="" type="checkbox"/> Maximum Amount					
Reference 1		Folio No:																		Mobile									
Reference 2		Appln No:												Email id															

I agree for the debit of mandate processing charges by the bank whom I am authorising to debit my account as per latest schedule of charges of the bank.

PERIOD									
From	D	D	M	M	Y	Y	Y	Y	
to	D	D	M	M	Y	Y	Y	Y	
or	<input type="checkbox"/> Until Cancelled								

1. _____ Signature of Account Holder	2. _____ Signature of Account Holder	3. _____ Signature of Account Holder
1. _____ Name of Account Holder	2. _____ Name of Account Holder	3. _____ Name of Account Holder

Declaration: This is to confirm that the declaration has been carefully read, understood and made by me/us. I/We have understood that I/we are authorised to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to the User entity or the bank where I have authorised the debit and express my willingness and authorize to make payments through participation in NACH/Direct Debit/Standing Instructions. I/We hereby confirm adherence to the terms of OTF Facility and as amended from time to time and of NACH/(Debits)/Direct Debits /Standing Instructions. Authorisation to Bank: This is to inform that I/We have registered for NACH (Debit Clearing) / Direct Debit / Standing instructions facility and that my/our payment towards my/our investment in DSP Mutual Fund shall be made from my/our above mentioned bank account with your Bank. I/We authorize the representatives of DSP Mutual Fund carrying this mandate form to get it verified and executed.

Please attach a cancelled cheque/cheque copy

DSP MUTUAL FUND

SIP Registration/Renewal Form (for OTM registered investors only)

Attention: No need to attach OTM Debit Mandate again, if already registered earlier.

Please tick ☒ as applicable:

☐ OTM Debit Mandate is already registered in the folio. [No need to submit again].

☐ OTM Debit Mandate is attached and to be registered in the folio.

Distributor / RIA / PMRN Name and ARN / Code	Sub Broker ARN & Name	Sub Broker/Branch/RM Internal Code	EJIN (Refer note below)	For Office use only
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☐ I/We confirm that the EUIN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributor personnel concerned. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

Investor Name:		Existing Investor Folio No. /Application No.	
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Sr. No.	Scheme/Plan/Option/Sub-option (Mention Cheque details, if attached)	SIP Installment Amount (₹)	SIP Date (1 st * to 31 st)	Frequency	Start Month/Year End Month/Year*	Top-Up (Minimum ₹ 500 or in Percentage %) Amount (₹) or Percentage %	Frequency
1.	DSP -		<div><div>D</div><div>D</div></div>	<input type="checkbox"/> Monthly* <input type="checkbox"/> Quarterly	From <div><div>M</div><div>M</div></div> <div><div>Y</div><div>Y</div><div>Y</div><div>Y</div></div> For <input type="checkbox"/> Perpetual <input type="checkbox"/> 10 yrs <input type="checkbox"/> 7 yrs <input type="checkbox"/> 5 yrs Or till <div><div>M</div><div>M</div></div> <div><div>Y</div><div>Y</div><div>Y</div><div>Y</div></div>	₹ <div></div> OR % <div></div> Top-Up CAP*:	<input type="checkbox"/> Yearly* <input type="checkbox"/> Half-yearly
2.	DSP -		<div><div>D</div><div>D</div></div>	<input type="checkbox"/> Monthly* <input type="checkbox"/> Quarterly	From <div><div>M</div><div>M</div></div> <div><div>Y</div><div>Y</div><div>Y</div><div>Y</div></div> For <input type="checkbox"/> Perpetual <input type="checkbox"/> 10 yrs <input type="checkbox"/> 7 yrs <input type="checkbox"/> 5 yrs Or till <div><div>M</div><div>M</div></div> <div><div>Y</div><div>Y</div><div>Y</div><div>Y</div></div>	₹ <div></div> OR % <div></div> Top-Up CAP*:	<input type="checkbox"/> Yearly* <input type="checkbox"/> Half-yearly
3.	DSP -		<div><div>D</div><div>D</div></div>	<input type="checkbox"/> Monthly* <input type="checkbox"/> Quarterly	From <div><div>M</div><div>M</div></div> <div><div>Y</div><div>Y</div><div>Y</div><div>Y</div></div> For <input type="checkbox"/> Perpetual <input type="checkbox"/> 10 yrs <input type="checkbox"/> 7 yrs <input type="checkbox"/> 5 yrs Or till <div><div>M</div><div>M</div></div> <div><div>Y</div><div>Y</div><div>Y</div><div>Y</div></div>	₹ <div></div> OR % <div></div> Top-Up CAP*:	<input type="checkbox"/> Yearly* <input type="checkbox"/> Half-yearly
(*Default option/Date) (*Default/Perpetual: 12/2099)		Total					

First SIP transactions via single cheque no. favouring 'DSP Mutual Fund' Dated

D	D	M	M	Y	Y	Y	Y
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Debit Bank Details:	Bank Name:	A/C. No.:
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Declaration: Having read, understood and agreed to the contents of OTM Facility, the Scheme Information Document, Statement of Additional Information, Key Information Memorandum, Instructions and Addenda issued from time to time of the respective Scheme(s) of DFP Mutual Fund mentioned within, I hereby declare that the particulars given above are correct and express my willingness to make payments towards SIP instalments referred above through participation in NACH/ Direct Debit/ Standing Instructions. The ARN holder, where applicable, has disclosed to me/us all the commissions (trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Signatures [as per Mutual Fund Records/Application]

X First Unit Holder's Signature

Second
Unit
Holder's
Signature

Third
Unit
Holder's
Signature

Acknowledgement	DSP Mutual Fund	ISC Stamp
Investor Name: _____	Folio No/Application No. _____	
<input type="checkbox"/> DEBIT MANADATE FORM <input type="checkbox"/> SIP FORM		

Website : www.dspim.com | **E-mail :** service@dspim.com | **Contact Centre :** 1800-208-4499 / 1800-200-4499

Terms and Conditions and Instructions

For detailed terms and conditions on SIP, including for OTM facility,
please visit our website www.dspim.com and also refer to scheme related documents.

- Investors who have already submitted an OTM form or already registered for OTM facility should not submit OTM form again as OTM registration is a one-time process only for each bank account. However, such investors if wish to add a new bank account towards OTM facility may fill the form.
- Other investors, who have not registered for OTM facility, may fill the OTM form and submit duly signed with their name mentioned.
- Mobile Number and Email Id: Unit holder(s) should mandatorily provide their mobile number and email id on the mandate form. Where the mobile number and email id mentioned on the mandate form differs from the ones as already existing in the folio, the details provided on the mandate will be updated in the folio. All future communication whatsoever would be, thereafter, sent to the updated mobile number and email id.
- Unit holder(s) need to provide along with the mandate form an original cancelled cheque (or a copy) with name and account number pre-printed of the bank account to be registered or bank account verification letter for registration of the mandate failing which registration may not be accepted. The Unit holder(s) cheque/ bank account details are subject to third party verification.
- With the introduction of One Time mandate (OTM) facility, the mandate registration and SIP registration through OTM facility has been delinked. There are two separate forms, 1) for onetime mandate registration and 2) for SIP Registration.
- Where a onetime mandate is already registered in a folio for a bank account, the Unit Holder(s) will have to fill only the SIP Registration Form and there is no need of a separate cheque to be given along with the SIP Registration Form.
- The total of all installments in a day should be less than or equal to the amount as mentioned in One Time Mandate already registered or submitted, if not registered.
- Where the mandate form and the SIP registration form are submitted together, debits for the SIP may happen only on successful registration of the mandate by the Unit holder(s) bank. The Fund / AMC would present the SIP transactions without waiting for the confirmation of the successful registration from the Unit holder(s)' bank.
- In case the onetime mandate is successfully registered, new SIP registration will take upto five days. The first debit may happen any time thereafter, based on the dates opted by the Unit holder(s).
- While the Fund and RTA reserve the right to enhance the SIP period to ensure minimum installments as per respective scheme offer documents, even if the investor has submitted the form late or requested for a period less than minimum installments, they may reject the applications for less than minimum installments.
- If start date for SIP period is not specified, SIP will be registered to start anytime from a period after five days from the date of receipt of application based on the SIP date available / mentioned, subject to mandate being registered. If end date is not specified or is opted as 'Perpetual', SIP will be registered till December 2099 or end date of mandate, whichever is earlier.
- If any time during the SIP period, the onetime mandate is to be modified to reduce the validity period which is more than SIP end period registered through OTM, investor should first cancel the SIP and thereafter modify the OTM end period.
- In case of Micro SIP application without PAN, the investor/s hereby declare that they do not have any existing Micro SIPs with DSP Mutual Fund which together with the current application will result in aggregate investments exceeding Rs. 50,000 in a year.
- In case the selected date falls on a Non-Business Day or on a date which is not available in a particular month, the SIP will be processed on the immediate next business day/date.
- For SIPs through OTM, the maximum per installment amount after Top-Up shall not exceed Rs. 5 lakhs or the maximum amount mentioned in OTM form, whichever is less.
- The Top-up details cannot be modified once enrolled. In order to make any changes, the investor needs to cancel the existing SIP and enroll for a fresh SIP with Top-up option.
- DSP Mutual Fund or the AMC, its registrars and other service providers are not responsible if the registration and subsequent transaction are delayed or not effected or the investor's bank account is debited in advance or after the specific SIP date due to local holidays or any other reason.
- Investors are deemed to have read and understood the terms and conditions of OTM Facility, SIP registration through OTM facility, the Scheme Information Document, Statement of Additional Information, Key Information Memorandum, Instructions and Addenda issued from time to time of the respective Scheme(s) of DSP Mutual Fund.