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Application Form

Cha	Channel Partner / Agent Information																																	
	Agent's Name and ARN ARN-280217							1.Sub Agent Code							2.Sub Agent Code						Sub /	Agent	Co	de	Eor Office	Use Only								
																								E O	° ⊃									
	ont commissio																			uding s	ervic	es rende	red by t	the di	stribut	tor						_		
1.	Existing Please n																			r.	F	[:] olio No)] /		
2.	New Inv	vesto	r Inf	orma	ntion	(re	fer in	struc	tion	2)																								
	Name o	of Firs	st/So	le Ap	plic	ant						1									1		_											·1
	Permane																	mple									D	D	Μ		Y	Y	Y	Y
	Name o	f Gu	ardia	an (in	i cas	e of	First	/ Sol	e Ap	plica T	nt is	a M	inor)	/ Co	ontac	t Pe	rson	– De	sign	atior	ı (ir	n cas	e of r	non	-ind	ividu	al lı	nves	stors	;)				
	Permane	nt Ac	count	t Num	ber											KY	C co	mple	ted	□ Y	es [🗆 No	Re	latio	onshi	p								
	Contact	Deta	ils o	f Firs	t / S	ole /	Applio	cant	nt (Please provide your Email ID as it is a must to transact online)																									
	E-Mail																																	
	STD Code								Tele	phone]			Mobi	e											
	Address	of F	irst /	/ Sole	e Ap	plica	ant													_	_									_				
	CITY							STATE											ł	PIN CO	ODE													
Monthly Income: □ < Rs 10,000 □ < Rs 25,000 □ < Rs 50,000 □ < Rs 1,00,000 □ > Rs 1,00,000 Occupation:																																		
	Mode	e of H	lold	i ng [F	leas	e (🗸)]									St	atus	of Fir	st /	Sole	Ap	plica	nt [Pl	ease	e (🗸)]								
	□ Single □ Joint □ Anyone or Survivor								□ Individual □ Minor through guardian □ HUF □ Partne □ Company □ Body Corporate □ Trust □ Mutua □ Others(please specify)										. ,															
	Name o	f Sec	ond	App	licar	nt																												
	Permane	nt Ac	coun	t Num	ber											KY	Ссо	mple	ted	۱ 🗆	ſes	🗆 No	C											
	Name o	f Thi	rd A	pplic	ant	. <u> </u>																												
	Permane	nt Ac	coun	t Num	ber											KY	Ссо	mple	ted	L ا	ſes	🗆 No)											
3.	Choose	the f	und	you v	wish	to i	nvest	and	make	e Che	eque,	/DD	in th	e ch	osen	func	l nan	ne (re	efer	instr	ucti	ion 3)	3.	A. P	lans	(ref	er i	nstru	uctio	n 3)			
3. Choose the fund you wish to invest and make Cheque/DD in the chosen fund name (refer instruction 3) 3A. Plans (refer instruction 3) 3. Sundaram BNP Paribas Fund Services Limited Computer Age Management Services (P) Ltd Image: Computer Age Management Services (P) Ltd Sundaram Equity Multiplier Sundaram Select Focus Sundaram Ultra Short-Term Fund Institutional Plan Sundaram India Leadership Fund Sundaram Growth Fund Sundaram Growth Fund Sundaram Flexible Fund-Flexible Income Plan Sundaram Select Thematic Entertainment Opportunities Sundaram SM.I.L.E Fund Sundaram Gilt Fund Sundaram Balanced Fund Sundaram Select Thematic Funds CAPEX Opportunities Sundaram Income Plus																																		
	Sundaram Monthly Income Plan Sundaram Select Thematic Funds Financial Services Opportunities Sundaram Select Debt Short-Term Asset Plan Aggressive Moderate Conservative Sundaram Money Fund											Divid	end	Swe	eep	estme	owth																	
A	Acknowledgement Sundaram Asset Management, II Floor, 46 Whites Road, Chennai - 600 014. Toll Free: 1800-425-1000 (MTNL/BSNL) Ph : (044) 28578700																																	
Received From Mr./Mrs./Ms.																																		
	ress																																	
Communication in connection with the application should be addressed to the Registrar Sundaram BNP Paribas Fund Services I Floor, Thiru V Ka Industrial Estate, Guindy, Chemai 600 032. Toll Free: 1800-425-7337 Communication in connection with the application should be addressed to the Registrar Computer Age Management Services (OMR), Okkiyam, Thoraipakkam, Chemai - 600 097. Phone: 044 - 3040 7080, Fax: 044 - 24581751 quoting full name of Sol																			ISC's Signature & Stamp Please Note: All Purchases are subject to realisation of cheques / demand drafts.															
v	www.sundarammutual.com 17 Sundaram Asset Management																																	

Application Form

4.	4. How do you wish to receive the following (refer instruction 4)																									
	Account Statement Will be sent by Email Dividend To receive physical statement please tick Direct Credit (DC) Electronic Clearing Service (ECS) Warrant															Re	dem	ptior	1							
	To receive physic			•												🗆 Dire							ant			
	Direct Credit is now available Do you wish to re															1ahindra Ba □ No	nk, Stand	dard Charte	ered Bar	ık, SBI &	YES Ban	ί.				
5																Dit (also su	bmit SIP	Auto Debi	t form) [∃ Pos	t-date	l cheo	aues			
3.	Please indicate details of your SIP (skip this section if you wish to make a one-time investment) Each SIP Amount Rs									11100				0 0 0.	Period				1.05	. date		1400				
		Weekly			nt Rs 1000						□ 1 year □ 2 years □ 3 years □ 5 years □ 10 years □ 15 years □ Perpe											etuity				
					int Rs 250 / unt Rs 750						SIP	Startir	ng	MM	ΥY	Y Y	SIP Da	ate 🗆	1 🗆	7 🗆 1	4 🗆 20) 🗆 25	5			
	If you opt for SIP through post dated cheques, please indicate	st SIP	Chequ	ie No								I	Last	SIP Che	eque N	No										
6. Bank Account Details are Mandatory (refer instruction 6)																										
	Name of the Bank										Branch															
	Branch Address												City	(redemption	& dividen	end will be payable at this location)										
	Account No																									
	, 1	Cheque M								A	ccount	Type [Ple	ease (🖌	')] □ SA	VINGS [
	If you have chose	n RTG	is / ne	FT plea	se fill:							RTG	S / NE	FT IFSC C	ode											
	Beneficiary Name																									
	Name of the Bank											Bran	ch					City								
7.	7. Payment Details (refer instruction 7) Please issue a separate Cheque/Demand Draft in favour of the fund you wish to invest																									
	Cheque / DD No														Dat	te D	D	Μ	Μ	Y	Y	Y	Y			
	Amount in words	(Rs)											Drawn on Bank													
	Amount in tiguros (Rs)						Net Amo	ount				Bra	inch Nai	me												
	Declaration: IWe • having read and understood the contents of the Statement of Additional Information/Scheme Information Document • hereby apply for units as indicated in the application form • agree to abide by the terms, conditions, rules and regulations of the scheme • agree to the terms and conditions for Auto Debit • agree to abide terms, conditions, rules and regulations of the scheme • agree to the terms and conditions for Auto Debit • agree to abide terms, conditions, rules and regulations of the scheme • agree to terms & conditions for Auto Debit • agree to abide terms, conditions, rules and regulations of the scheme • agree to terms & conditions of PIN agreement • agree to receive account statement/communication by Email • have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment • do not have any existing Micro SPS which together with the																									
8	8. Nominee (available only for individuals) (refer instruction 8) 9. Signature (refer instruction 9)										ided to me/us	i.														
0.		Ully		iiviuuai	5) (Tetel	mstru		0)]				9. 3	ignatui	e (iei		lucu	UII <i>9)</i>						
	Name:											First	/ 50	le												
	Address:											App	lican	t /												
												Gua	TUTAT	1												
	If nominee is a mi																									
	Name of Guardiar Address of Guardi											Seco	ond													
		an										Арр		t												
	Sign	ature	of No	minee/	Guardia	n of N	omine	е																		
												Third		+												
												App	ncan	ı												
											 ~															
	Scheme: Scheme:] Inst	titutio	nal	Plan		 Dividend Payout Dividend Re-Investment Dividend Sweep Growth Others 											
	Cheque / DD No.														Date	e D	D	Μ	Μ	Y	Y	Y	Y			
	Amount in words (I	Rs)	I						I					•	Dra	wn on E	Bank									
	Amount in figures (Rs)				DD Charg	ges			Net Amo	unt				Brar	nch Nan	ne									
V	vww.sundarammu	tual.c	com							1	8	_						Sunda	iram	Asset	Man	agem	ent			

SMF-KIM-October 10

Form for SIP Auto Debit Facility

Channel Partner / Agent Information								e >															
Agent's Name and ARN	1.Sub /	.Sub	Ag	gent Co	de		3.S	ub A	gent	Cod	е	For Office	Use Only										
ARN-280217												For (Use										
Upfront commission shall be paid directly by the investor to the AMFI	-registered dist	ibutors b	ased on	the inve	stors' as	sessme	nt of	various fa	ctors in	ncludin	g services	rendere	ed by th	e distri	outor								
Existing Investor Information (Please fill in Please note that applicant details and mode	your Folic	No.)										olio No] /		
New Investor Information																							
Name of First/Sole Applicant															1							1	
Permanent Account Number Name of Second Applicant																	К	YC c	ompl	etec		Yes	🗆 No
Permanent Account Number																	К	YC c	ompl	etec		Yes	□ No
Name of Third Applicant													1	1	1			-					
Permanent Account Number																	unde	rstoo	d th	e co	ontei	nts	□ No of the
If Micro SIP, please tick Yes (Micro SIP: Less		r person in	any rollin	ng 12-mor	th period																		hereby by the
If Micro SIP, supporting document/identification	number:							term	s, со	ondit	ions, i	ules	and	regul	ation	ns of	the	scher	ne 🍯	agre	ee to	the	terms
Fund Name Plans and Options								and	egul	latio	ns of tl	ne sch	neme	e • ag	gree t	o ter	ms 8	con	ditior	ns of	PIN	agre	s, rules ement
Plan □ Regular Plan □ Institutional Pla	n 🗆 Sup	er Inst	itutio	nal P	lan																		ve not ctly in
Option □ Dividend Payout □ Dividend Re			intutio		lan			mak	ng th	his ir	nvestm	ent •	do	not h	lave	any	existi	ng M	icro	SIPs	whic	ch to	gether
Dividend Sweep Growth C	thers							with 50,0	with the current application will result in the total investments exceeding 50,000 in a year. The ARN holder has disclosed to me/us all the commis										ng Rs. issions				
Each SIP Amount Rs								(in t	ne fo	orm o	of trail	com	miss	ion c	or an	y otł	ner n	node)	, pay	able	to h	nim	for the
SIP Frequency Weekly (Minimum amount Rs 1000 Every Wednesday) Monthly (Minimum amount Rs 250 Minimum No of installments 20) Quarterly (Minimum amount Rs 750 Minimum No of installments 7)								different competing Schemes of various Mutual Funds from amongst whice the Scheme is being recommended to me/us.															
Period for the SIP														5	igno	itur	C						
□ 1 year □ 2 years □ 3 years		5 yeai	rs					F :		مام													
□ 10 years □ 15 years □ Perpetuity	, 1 te 🗆 1 [14	7 201	7.25			First / Sole Applicant /															
			14			٦		Gu	Guardian														
Initial Cheque/DD No Bank Account De						1																	
Bank Account De	etalls																						
Name as in bank account:								Sec	Second														
Bank Name:								Applicant															
Branch:																							
Account Number]																	
Account Type Savings Current Other	s							Thi	ď														
Nine-digit MICR No from cheque								Ар	olica	nt													
To know your MICR number, please refer your cheque leaf																							
Authorisation & Signature of Bank Account Holder Account Number																							
I/We have registered for ECS for my investment in Sundaram Mutual Fund from the indicated account with your bank and authorise the representative carrying this mandate to get it verified and executed.																							
												Τ											
First / Sole Applicant / Guardian Sec								Applicant Third Applicant								nt							
www.sundarammutual.com									9 Sundaram Asset Managem										ent				

With effect from August 01, 2009 PAN not required for Micro SIP

Investors who are Individuals are exempt from furnishing details of Permanent Account Number (PAN) for investments through **Systematic Investment Plans (SIPs) up to Rs. 50,000/- per year per investor** (hereinafter called Micro SIP).

Investors who wish to invest through Micro SIP will be required to produce a copy of any one of the specified photo identification documents instead of PAN. Supporting document must be current and valid. Supporting document copy shall be self attested by the investor / attested by the ARN holder mentioning the ARN number. While making subsequent Micro SIP applications with a mutual fund, investor can quote the existing folio number where a Micro SIP has been registered for all subsequent investment through Micro SIP in that folio and therefore need not resubmit the supporting document.

List of Specified Photo Identification Documents

- 1. Voter Identity Card
- 2. Driving License
- 3. Government / Defense identification card
- 4. Passport
- 5. Photo Ration Card
- 6. Photo Debit Card (Credit card not included because it may not be backed up by a bank account).
- Employee ID cards issued by companies registered with Registrar of Companies (database available in the following link of Ministry of Company affairs http://www.mca.gov.in/DCAPortalWeb/dca/MyMCALogin.do?method=setDefaultProperty& mode=31)
- 8. Photo Identification issued by Bank Managers of Scheduled Commercial Banks / Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament
- 9. ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks.
- 10. Senior Citizen / Freedom Fighter ID card issued by Government.
- 11. Cards issued by Universities / deemed Universities or institutes under statutes like ICAI, ICWA, ICSI.
- 12. Permanent Retirement Account No (PRAN) card issued to New Pension System (NPS) subscribers by CRA (NSDL).
- 13. Any other photo ID card issued by Central Government/ State Governments/ Municipal authorities/ Government organizations like ESIC/ EPFO

Eligibility for Exemption: This exemption will be applicable only to investments made by individuals including minors, joint holders, sole proprietary entities and, NRIs but not PIOs. HUFs and other categories will not be eligible for Micro SIPs. The exemption will be available where the total amount of installments under SIP in a rolling 12-month period or in a financial year i.e. April to March, does not exceed Rs 50,000. This exemption will not be applicable to purchase transactions other than through SIP. The Registrar & Transfer Agents (RTA) – Computer Age Management Services Pvt. Ltd. & Karvy Computershare Pvt. Ltd will adopt the procedures prescribed by Association of Mutual Funds in India from time to time in this regard.

Transactions not covered: If the Supporting Documents are not submitted or once the cumulative investment exceeds in a rolling 12 month period / financial year Rs 50,000, the Investor will be required to comply with the procedures relating to PAN/KYC norms as applicable to investments under other categories, failing which the application for investment will be rejected.

Read before enrolling for an SIP

- SIP facility is available for schemes covered in the KIM.
- First-time investors must use SIP Application Form and existing investers in the schemes of Sundaram Mutual must use SIP Enrollment/Renewal Form.
- SIP investment can be made on any stock market working day. An investor must choose specific dates of the month/quarter by
- (i) Auto debit or (ii) Cheques facility
- SIP Application Form and SIP Renewal Form must be completed in English using block letters and submitted at offices of Sundaram Asset Management or Investors Service Centres of CAMS. Please do not submit SIP forms to collection banks.
- For the first installment a cheque must be issued. For second and subsequent installments, an
 investor can choose auto debit (recomended as it is efficient, easy, more secure and timely)
 or provide post dated cheques.
- SIP dates
 - (i) 1, 7, 14, 20 or 25 of the month as SIP date for monthly option.
- (ii) 1, 7, 14, 20 or 25 of the beginning month of each quarter as SIP date for quarterly option.
- Only one installment per month/quarter is allowed under one SIP registration.
- You can invest a fixed amount every month or quarter. Minimum investment amount: Rs.250/- for monthly SIP and Rs.750/- for quarterly SIP.
- The minimum number of cheques under monthly installment should be 20 and for quarterly
 installment should be 7 for SIP based on the minimum amount. For higher amounts the
 number of installments and cheques can be lower subject to investing at least Rs 5000 during
 the SIP period.
- The cheque should be drawn in favour of the fund name and crossed "a/c payee only". It should be made payable at the location of the branch of Sundaram Asset Management or Investors Service Centre of CAMS. On receipt of the post-dated cheques the Registrar and Share Transfer Agent - CAMS - will send a letter to the unit holder confirming that his or her name has been enrolled for the SIP.
- An investor must submit only one cheque for each month/quarter for the SIP period. All
 cheques must be for the same amount and carry the same date that is selected by the investor.

- If an investor opts for SIP through post dated cheques, all cheques must be for the SIP date that
 has been chosen. The cheque will be presented on the same or the next working day and units
 will be allotted at the applicable NAV.
- Units will be allotted on the above applicable dates. In case the date is a Non-Business Day, the immediate next Business Day will be considered for the purpose of determining the applicability of NAV.
- An Account Statement will be sent by mail or by e-mail (if indicated) to the investor within 10 working days for the first investment through SIP. The subsequent account statement will be despatched once every quarter ending March, June, September and December within 10 working days from the end of respective quarter. In case of specific request received from investors, Sundaram Asset Management will send the account statement to the investors within 5 working days from the receipt of such request without any charge.
- Investors should, as far as possible, opt to receive account statement by e-mail to make a
 contribution to the environment.
- An updated account statement will be sent to the investor indicating the new balance at his/her credit. For investors who have not provided an E-mail ID, a consolidated statement will be sent every quarter.
- If two consecutive post-dated cheques are returned unpaid by the bank, the SIP will be terminated and the balance cheque(s) if any, will be returned.
- Investor will have the right to discontinue the SIP facility at any time by sending a written
 request to offices of Sundaram Asset Management or Investors Service Centres of CAMS. This
 request notice should be received at least 21 days prior to the due date of the next payment.
 On receipt of such request, the SIP facility will be terminated and the unused post dated
 cheque(s) will be returned to the investor. If the investor has opted for the auto debit facility,
 the instructions for this purpose would also be deactivated.

SIP Auto Debit facility: Terms & Conditions

- This facility is offered using RBI's Electronic Clearing Service (ECS). By opting for this facility, you agree to abide by the terms and conditions of the ECS facility of Reserve Bank of India available at www.rbi.gov.in and www.sundarammutual.com.
- To avail this facility you must submit SIP Auto Debit form with a cancelled cheque. You must
 also indicate 9-digit MICR number available in your cheque leaf.



- SIP Auto Debit Form along with first cheque should be submitted to offices of Sundaram Asset Management or Investors Service Centres of CAMS.
- The first cheque should preferably be drawn on the same bank account that is to be registered for ECS (Debit Clearing). If the cheque is drawn on any other bank, an investor must provide a photocopy of the cheque of the bank / branch for which ECS (Debit Clearing) is to be registered. Investors need not submit the First Cheque for SIP enrollment if:
- The SIP Auto Debit Facility Enrolment Form is attested by the Bank from which SIP installment will be debited or
- Copy of the cancelled cheque or photocopy of the cheque of bank account from which the SIP installment will be debited is attached to the form.
- There shall be a gap of at least 30 days between the dates of the initial investment and the first SIP auto-debit installment for a monthly SIP. This gap must at least 90 days for a quarterly SIP.

[•] The following documents may be submitted at least 40 days before the first SIP date:

New Investors	Existing Investors
SIP Application From	SIP Enrolment/Renewal Form
SIP Auto Debit Facility	SIP Auto debit facility form
First SIP Cheque	First SIP Cheque

- Your Bank Branch through which you want your SIP Auto Debit to take place should participate in local MICR Clearing.
- If investor mentioned the At Par MICR number for ECS i.e. MICR starting with 000 or ending 000, investor should mention the branch name and city name of bank particulars column.
- In case your bank decides to cross-verify the ECS auto-debit mandate with you as the bank's customer, you will have to complete the process.
- An investor who opts for this facility will recieve an acknowledgement of registration for Auto Debit.
- The amount of the first cheque need not correspond to the value indicated for each SIP installment using Auto Debit facility. The SIP installments will be for the same amount.
- Auto Debit of the SIP amount will usually be processed on the date chosen by you or the next working day. SIP is available only on select dates of a month: 1, 7, 14, 20 and 25.
- Auto Debit of SIP will start after 30 days from the date of SIP Application.
- Three consecutive Auto Debit failures/rejections will lead to closure of the SIP.
- Sundaram Mutual Fund, its Investment Manager, Registrar and other service providers will not be liable:
 - For any transaction failures due to the refusal of the bank to register the SIP mandate or rejection of the transaction by your bank / branch.
 - If the transaction is delayed or not effected or your bank account is debited in advance or after the specific SIP date due to various clearing cycles of ECS.
 - For any damages / compensation for any loss/damage, to name few, incurred by you as a result of use of this facility by you.
- Sundaram Mutual Fund holds the right to reject application forms with incomplete information regarding SIP preferences. Such applications along with cheques will be returned to the investor with a communication.
- Cities in which ECS facility is available may be modified / updated / changed / removed entirely at the discretion of Sundaram Mutual Fund without assigning any reasons or prior notice.